

Docket No.: 42390P11931

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICHAEL A. KOZUCH, ET AL.

Application No.: 10/043,843

Filed: November 1, 2001

For: Method And Apparatus For Mechanism For

Loading A Trustable Operating System In A

Potentially Uncooperative Multiprocessing

Environment

Art Group: 2151

Examiner: To Be Determined

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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Technology Center 2100

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 22, 2003

oseph Lutz, Reg. No. 43,765

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

05-22-03

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet | 1 of

Complete if Known						
Application Number	10/043,843					
Filing Date	November 1, 2001					
First Named Inventor	Michael A. Kozuch					
Art Unit	2151					
Examiner Name	To Be Determined					
Attorney Docket Number	42390P11931					

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,079,737	01-07-1992	Hackbarth	
		US-5,386,552	01-21-1995	Garney	
		US-5,582,717	12-10-1996	DiSanto	
		US-5,628,022	05-06-1997	Ueno et al.	EIVED
		US-5,737,760	04-07-1998	Grimmer, Jr. et al.	
		US-5,757,919	05-26-1998	Herbert et al. MAY	2 9 2003
		US-5,852,717	12-22-1998	Bhide et al.	
		US-5,872,994	02-16-1999	Akiyama et al. Technolog	y Center 2100
		US-6,055,637	04-25-2000	Hudson et al.	"
		US-6,085,296	07-04-2000	Karkhanis et al.	
	·	US-6,125,430	09-26-2000	Noel et al.	
		US-6,148,379	11-14-2000	Schimmel	
		US-6,192,455 B1	02-20-2001	Bogin et al.	
		US-6,249,872 B1	06-19-2001	Wildgrube et al.	
		US-6,272,533 B1	08-07-2001	Browne	
		US-6,292,874 B1	09-18-2001	Barnett	
		US-6,301,646 B1	10-09-2001	Hostetter	
		US-6,321,314 B1	11-20-2001	Van Dyke	
		US-6,339,815 B1	01-15-2002	Feng et al.	
		US-6,339,816 B1	01-15-2002	Bausch	

		FOI	REIGN PATEN	IT DOCUMENTS		
	Cite	Foreign Patent Document			Pages, Columns, Lines,	т6
	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	I.
		WO 97/29567	08-14-1997	Fortress U&T Ltd.		X
		WO 01/75565 A2	10-01-2001	Intel Corporation		X
		WO 01/75595 A2	10-11-2001	Intel Corporation		X
		WO 01/27821 A2	04-19-2001	Hewlett-Packard Company		X
		WO 01/27723 A1	04-19-2001	Hewlett-Packard Company		X
		EP 1030237 A1	08-23-2000	Hewlett-Packard Company		X
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 **of** 3

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	Co	omplete if Known	
	Application Number	10/043,843	_
Filing Date		November 1, 2001	_
	First Named Inventor	Michael A. Kozuch	_
	Art Unit	2151	_
	Examiner Name	To Be Determined	_
	Attorney Docket Number	42390P11931	

U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-6,499,123 B1	12-24-2002	McFarland et al.					
		US-6,505,279 B1	01-07-2003	Phillips et al.	RECE	IVED			
		US-6,101,584	08-01-2000	Satou et al.	neol	1460			
		US-5,953,502	09-14-1999	Helbig, Sr.	MAY 2	9 2003			
		US-4,571,672	02-18-2086	Hatada et al.		V 2003			
		US-2001/0027527 A1	10-04-2001	Khidekel et al.	Technology	Center 2100			
		US-2001/0021969 A1	09-13-2001	Burger et al.					
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (05-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 05/02/2003.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known				
Application Number 10/043,843				
Filing Date	November 1, 2001			
First Named Inventor	Michael A. Kozuch			
Art Unit	2151			
Examiner Name	To Be Determined			
Attorney Docket Number	42390P11931			

	OTHER ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
		"Trust Computing Plattform Alliance (TCPA)", Main Specification Version 1.1a, Compaq Computer Corp., Hewlett-Packard Co., IBM Corp., Intel Corp., Microsoft Corp., Dec. 2001						
		RECEIVED						
		MAY 2 9 2003						
		Technology Center 2100						

Examiner		 Date		-	
Signature		Considered	_		

Based on PTO/SB/08B (05-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 05/02/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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TRANSMITTAL FORM			Application No.	10/	043,843	
			Filing Date	No	vember 1, 2001	
(to be used for all	correspondence af	ter initial filing)	First Named Inventor	Mi	chael A. Kozuch	
			Group Art Unit	215	51	
			Examiner Name	То	Be Determined	
Total Number of F	Pages in This Submission	on 8	Attorney Docket Number	423	390P11931	
	ENCLO	SURES (chec	k all that apply)			
Fee Transmittal		Drawing(s)			After Allowance Communication to Group	
Fee Attach	ned	Licensing-re	elated Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	sponse	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Fina Affidavits/	l declaration(s)	Petition to C Provisional	Convert a Application		Proprietary Information	
Extension of Time	e Request	Power of Al Change of 0	torney, Revocation Correspondence Address		Status Letter	
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Information Disci	osure Statement	Request for Refund			Return Receipt Postcard	
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Certified Copy of Document(s) Response to Mis					MAY 2 9 2003	
Response to Mis Incomplete Appli		Remarks		Tec	chnology Center 2100	
Decla	: Filing Fee tration/POA	IDS cited refs are 27 US Patent Docs, 6 Foreign Patent Docs and 1 Other Art Document			s, 6 Foreign Patent Docs	
Response Parts undo 1.52 or 1.5	e to Missing er 37 CFR 53					
	SIGNATUR	E OF APPLICAN	IT, ATTORNEY, OR A	SENT		
Firm or	Joseph Lutz, R	eg. No. 43,76	5			
Individual name	BLAKELY, S	OKOLOFF,	TAYLOR & ZAFI	MAN	1 LLP	
Signature	Q_{α}	20	0		_	
Date	May 22, 2003	V				
	correspondence is bein	g deposited with the			the date shown below with sufficient Alexandria, VA 22313-1450.	
Typed or printed na	ame Marilyn Bas	1 , 2	·			
Signature	YNDAA	WIND	7	Date	May 22, 2003	

Application No.

10/043,843

Based on PTO/SB/21 (05-03) as modified by Blakely, Solokof, Taylor & Zaman (wir) 05/02/2003. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

 Complete if Known

 Application Number
 10/043,843

 Filing Date
 November 1, 2001

 First Named Inventor
 Michael A. Kozuch

 Examiner Name
 To Be Determined

 Group/Art Unit
 2151

 Attorney Docket No.
 42390P11931

Check	METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)	
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Complete (if applicable)			4

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